Attorney's Docket No. 49814 DIV (70904)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

I. Nakano, et al.

U.S.S.N.:

Not Yet Assigned

Examiner:

Psitos, Arisoleis M. (Parent)

Filed:

Herewith

Group:

2653 (Parent)

For:

OPTICAL RECORDING/REPRODUCTION APPARATUS

## **CERTIFICATE OF EXPRESS MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 (Express Mail Label No. EV 343733695 US), and is addressed to the Commissioner for Patents, Washington, D.C. 20231 on September 30, 2003.

By Mathyn Q. Grindrod

Kathryn A. Grindrod

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

## INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

## I. <u>LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION</u>

The patents, publications or other information submitted for consideration by the Office are listed on Form PTO-1449, attached hereto.

II.	<u>COPIES</u>	
	a. <u>X</u>	Submitted herewith is a legible copy of (i) each U.S and foreign patent; (ii) each publication or that portion which caused it to be listed; and (iii) all other information or that portion which caused it to be listed.
	b	This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application Serial No, filed on The following references were submitted to, and/or cited by, the Office in the prior application (s) and, therefore, are not required to be provided in this application.
III.		PLANATION OF THE RELEVANCE at least one box)
	a	Except as may be indicated below in (b), all of the patents, publications or other information are in the English language or were cited in an English language Search Report, a copy of which is attached hereto (concise explanation not required).
	bX	A concise explanation of the relevance of all patents, publications or other information listed that is not in the English language is as follows:  See English language Abstracts and/or partial translations attached to each of the Japanese language documents cited and provided herewith.
	c	The following additional information is provided for the Examiner's consideration:
		<u>FEES</u>
IV.	THIS IDS IS B	EING FILED UNDER 37 C.F.R. § 1.97(b)
	a. <u>X</u>	within three months of the filing date of a national application (37 C.F.R. § 1.97(b) (1). No fee or certification is required.
	b	within three months of the date of entry of the national stage as set forth in §1.491 in an international application (37 C.F.R. § 1.97(b) (2). No fee or certification is required.

	c	Before the mailing date of a first Action on the merits (37 C.F.R. § 1.97(b) (3)). No fee or certification is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the certification under 37 C.F.R. § 1.97(e) below, or, if no certification has been made, charge our deposit account a fee in the amount of \$180.00 as required by 37 C.F.R. § 1.17(p).
V.	THIS IDS IS B	EING FILED UNDER 37 C.F.R. § 1.97(c):
		ing date of a Final Office Action under 37 C.F.R. § 1.113 (See 37 C.F.R. § 1.97(c) he mailing date of a Notice of Allowance under 37 C.F.R. § 1.311 (See 37 C.F.R. §
	a	No certification; therefore, a fee in the amount of \$180.00 is required by 37 C.F.R. §1.17(p).
		OR
	b	See the certification below. No fee is required.
VI.	STATEMENT	UNDER 37 C.F.R. § 1.97(e) (check only one box)
	The undersigne	d hereby states that
	a	each item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS; or
	b	no item of information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application or, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

Please charge Deposit Account No. 04-1105 in the amount of \$180.00 for the above-indicated fee. A triplicate copy of this paper is attached.  No fee is required.	c	Patent Office. As to this inform information contained in the ID: Patent Office in a counterpart for prior to the filing of this IDS. A hereby certifies that no item of the was cited in a communication for foreign application or, to the best	n were cited in a communication from a foreign ation, the undersigned certifies that each item of S was cited in a communication from a foreign preign application not more than three months as to the remaining information, the undersigned this remaining information contained in the IDS from a foreign Patent Office in a counterpart of my knowledge after making reasonable ridual designated in 37 C.F.R. § 1.56(c) more ling of this statement.
_X_ No fee is required.		-	
	_X No	fee is required.	
If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule, with a petition if necessary, and charge the appropriate fee to Deposit Account No. <b>04-1105</b> .  Respectfully submitted,	undersigned. If it is consider this IDS u	s determined that this IDS has been finder the proper rule, with a petition if	led under the wrong rule, the PTO is requested to f necessary, and charge the appropriate fee to
Date: September 30, 2003  SIGNATURE OF PRACTITIONER	Date: September 3	0, 2003	SIGNATURE OF PRACTITIONER
Reg. No.: 27,840  Tel. No. (617) 517-5508  David A. Tucker  (type or print name of practitioner)  Attorney for Applicant(s)	•	-5508	(type or print name of practitioner)
Edwards & Angell, LLP P.O. Box 9169 P.O. Address  Customer No.: 21874	Customer No.: 218	74	P.O. Box 9169 P.O. Address
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				49814 DIV (70904)	Not Ye	t Assigned		
INFORMATION DISCLOSURE STATEMENT				APPLICANT(S): I. Nakano, et al.				
				FILING DATE: GROUP NO.:				
				Herewith	2653 (Parent)			
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			UNITEI	STATES PATENT DO	CUMENTS			
EXAM. INITIALS		DOCUMENT NUMBER			67.166		FILING DATE	
			DATE	NAME	CLASS	SUBCLASS	IF APPROPRIATE	
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		DOCUMENT NUMBER	FOF	REIGN PATENT DOCUM	MENTS  CLASS	SUBCLASS	TRANSLATION YES/NO	
	BA			COUNTRY		SUBCLASS		
	BA BB	NUMBER	DATE	<b>COUNTRY</b> JAPAN		SUBCLASS	YES/NO Abstract & Partial Translation	
		NUMBER 10-188301	<b>DATE</b> 07/21/1998	COUNTRY JAPAN JAPAN		SUBCLASS	YES/NO Abstract & Partial Translation Abstract & Partial	
	BB	NUMBER 10-188301 10-255290	<b>DATE</b> 07/21/1998 09/25/1998	COUNTRY JAPAN JAPAN		SUBCLASS	YES/NO Abstract & Partial Translation Abstract & Partial Translation Partial	
	BB BC	NUMBER 10-188301 10-255290	<b>DATE</b> 07/21/1998 09/25/1998	COUNTRY JAPAN JAPAN		SUBCLASS	YES/NO Abstract & Partial Translation Abstract & Partial Translation Partial	
	BB BC BD	NUMBER 10-188301 10-255290	<b>DATE</b> 07/21/1998 09/25/1998	COUNTRY JAPAN JAPAN		SUBCLASS	YES/NO Abstract & Partial Translation Abstract & Partial Translation Partial	
	BB BC BD BE	NUMBER 10-188301 10-255290	<b>DATE</b> 07/21/1998 09/25/1998	COUNTRY JAPAN JAPAN		SUBCLASS	YES/NO Abstract & Partial Translation Abstract & Partial Translation Partial	
	BB BC BD BE BF	NUMBER 10-188301 10-255290 5-266511	DATE 07/21/1998 09/25/1998 10/15/1993	COUNTRY JAPAN JAPAN	CLASS		YES/NO Abstract & Partial Translation Abstract & Partial Translation Partial Translation	
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